



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Gunning et al.

Serial No.

10/673,951

Group Art Unit: 2872

Filed:

September 29, 2003

Title:

PARTIONED-CAVITY TUNABLE FABRY-PEROT FILTER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement for this application and a PTO Form 1449 listing the references cited in the Examination Report received for the corresponding European application.

If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 18-1750. A duplicate of this transmittal is attached.

Dated: 2-7-07

Respectfully submitted

Steven C. Patrick

Registration No. 40,341 Attorney for Applicants

KOPPEL, PATRICK, HEYBL & DAWSON 555 St. Charles Drive, Suite 107 Thousand Oaks, California, 91360 Telephone: (805) 373-0060

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service first Class mail addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on:

Date: 2/7/07

Joan Harriman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: A. Chang

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This certification is being made for this Supplemental Information Disclosure Statement. Applicants submit a copy of each of the non-U.S. patent references listed on the attached PTO-1449 (Modified) form for consideration by the Examiner.

I, the person signing below certify that:

- (a) each item of information contained in the supplemental information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the supplemental information disclosure statement (37 C.F.R. § 1.97(e)(1)); or
- (b) no item of information contained in the supplemental information disclosure statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not

CERTIFICATE OF MAILING

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Date // // U

Joan Harriman

constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Respectfully submitted,

February 7, 2007

Steven C. Patrick Registration No. 40,341 Attorney for Applicants

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FORM PTO-1449 (Modified INFORMATION DISCUSSION TO IN AN APPLICATION

Docket No. Application Number 10/673.951

Applicants Gunning et al.

Filing Date Group Art Unit

2872

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

September 29, 2003

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Examiner Initial	Doc	ument	Numb	er				Date	Name	c	Class	Subclass	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

	Document Number								Country	Class	Subclass	Translation	
	EP	EP 0 57 10 2 2 A1					A1	11/24/93	EUROPE		<u> </u>	Yes YES	No
	-		-						<u> </u>				
													

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BASS, MICHAEL: HANDBOOK OF OPTICS, VOL. 1:1995; pp. 42.73-42.87
	HEMINGWAY, D. J. et al.: "EFFECTIVE REFRACTIVE INDICES OF METAL-DIELECTRIC INTERFERENCE FILTERS"; APPLIED OPTICS; VOL. 6; NO. 3; MARCH 1967; pp. 471-476
Examiner	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.